



Docket No. 2003-042  
**PATENT**

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Mail Stop AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on 12-14-04

BY:

Suzanne Shadley  
Suzanne Shadley

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Charmot et al.

Serial No.: 10/609,255

Filed: 6/26/2003

For: Removal of the Thiocarbonylthio or  
Thiophosphorylthio End Group of  
Polymers And Further Functionalization  
Thereof

Confirmation No. 7767

Group Art Unit: 1711

Examiner: O. Asinovsky

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL LETTER**

Sir:

Transmitted herewith (check all that apply):

- |                                                            |                                                                      |
|------------------------------------------------------------|----------------------------------------------------------------------|
| <input type="checkbox"/> Preliminary Amendment             | <input checked="" type="checkbox"/> Information Disclosure Statement |
| <input checked="" type="checkbox"/> Response/Amendment A   | <input type="checkbox"/> Petition Under 37 CFR 1.97(d)(2)            |
| <input type="checkbox"/> Response/Amendment After Final    | <input type="checkbox"/> Formal Drawings                             |
| <input type="checkbox"/> Supplemental Amendment            | <input type="checkbox"/> Declaration Under 37 CFR 1.131              |
| <input type="checkbox"/> Affidavits/Declarations           | <input type="checkbox"/> Declaration Under 37 CFR 1.132              |
| <input type="checkbox"/> Declaration and Power of Attorney | <input type="checkbox"/> Terminal Disclaimer                         |
| <input type="checkbox"/> Supplemental Declaration          | <input type="checkbox"/> Small Entity Statement                      |
| <input type="checkbox"/> Power of Attorney                 | <input type="checkbox"/> Request for Refund                          |
| <input type="checkbox"/> Change of Correspondence Address  | <input type="checkbox"/> Appeal                                      |
| <input type="checkbox"/> Associate Power of Attorney       | <input type="checkbox"/> Petition for Extension of Time              |
| <input type="checkbox"/> Response to Missing Parts         | <input type="checkbox"/> Status Letter                               |

to be filed in the above-identified patent application.

**TOTAL AMOUNT OF PAYMENT:** \$ 180.00  
**METHOD OF PAYMENT**

The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 50-0496

Deposit Account Name: Symyx Technologies, Inc.

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Charge the Issue Fee Set at 37 CFR 1.18 at the Mailing of the Notice of Allowance

**FEE CALCULATION**

**1. EXTRA CLAIM FEES**

			Extra Claims		Fee from below		Fee Paid
Total Claims		-20**	=		X		
Independent Claims		-3**	=		X		
Multiple Dependent Claims (first appearance) \$300/150							

\*\*or number previously paid, if greater; For Reissues, see below

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	88	2201	44	Independent claims in excess of 3
1203	300	2203	150	Multiple dependent claim, if not paid
1204	88	2204	44	**Reissue independent claims over original patent
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent
				<b>SUBTOTAL (2) (\$)</b>

2. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge – late filing fee or oath	
1052	50	2052	25	Surcharge – late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	430	2252	215	Extension for reply within second month	
1253	980	2253	490	Extension for reply within third month	
1254	1,530	2254	765	Extension for reply within fourth month	
1255	2,080	2255	1,040	Extension for reply within fifth month	
1401	340	2401	170	Notice of Appeal	
1402	340	2402	170	Filing a brief in support of an appeal	
1403	300	2403	150	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive – unavoidable	
1453	1,330	2453	665	Petition to revive – unintentional	
1501	1,370	2501	685	Utility issue fee (or reissue)	
1502	490	2502	245	Design issue fee	
1503	660	2503	330	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Petitions related to provisional applications	
1806	180	1806	180	Submission of Information Disclosure Statement	180.00
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	790	2809	395	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify) Publication Fee					
<b>SUBTOTAL (3) (\$)</b>					<b>180.00</b>

\*Reduced by Basic Filing Fee Paid

Date:

12/14/04

Respectfully submitted,

James H. Ackley  
 Reg. No. 45,695

Attorney for Applicant(s)

Symyx Technologies  
 3100 Central Expressway  
 Santa Clara, California 95051  
 Tel.: (408) 720-2598 Fax: (408) 773-4029